

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Chang, et al.  
Serial No.: 10/766,294  
For: SYSTEMS AND METHODS FOR SORTING AEROSOLS  
Filed: January 28, 2004  
Examiner: Not Yet Assigned  
Art Unit: 3754  
Confirmation No.: 9369  
Customer No.: 27,623

Attorney Docket No.: 807.7965USU

**Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

**XX** Declaration and Power of Attorney executed by Richard K. Chang, Jean-Pierre Wolf; Veronique Boutou and Yongle Pan;

**XX** The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;

\_\_\_\_\_ Sheets of Formal Drawings;

**XX** An Assignment of the Invention to : Yale University and University of Lyon including \$40.00 recordation fee and Assignment Recordation Cover Sheet;

**XX** An Assignment of the Invention to, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;

- ☐ A Certified Copy of the Priority Application;  
☐ Information Disclosure Statement (Form - 1449); and  
☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.  
☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

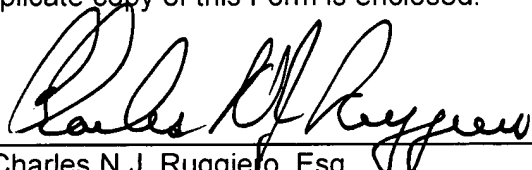
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	20 - 20 =	0	x \$18.00	\$0
Independent Claims	2 - 3 =	0	x \$86.00	\$0
Multiple Dependent Claim Fee		x \$290.00 , = \$0.00		
<b>TOTAL FILING FEE</b>				<b>\$770.00</b>

1/2 FILING FEE FOR SMALL ENTITY	<b>\$385.00</b>
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- ☒ A check in the amount of \$ **490.00** to cover the filing fee (\$385, small entity); surcharge (\$65, small entity), assignment recordal fee (\$40) is enclosed.  
☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

August 18, 2004  
 Date

  
 Charles N.J. Ruggiero, Esq.  
 Attorney for Applicant(s)  
 Registration No. 28,468  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON August 18, 2004.

Joanne A. Romaniello  
 NAME.

  
 SIGNATURE

8/18/04  
 DATE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/766,294	01/28/2004	Richard K. Chang	807.7965USU

CONFIRMATION NO. 9369

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**RECEIVED**

JUN 21 2004

OHLANDT, GREELEY  
RUGGIERO & PERLE, LLP

## FORMALITIES LETTER



\*OC000000012986533\*

Date Mailed: 06/18/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

08/23/2004 FFANAI2 00000029 10766294

Commissioner for Patents

02 FC:2001  
03 FC:2051


385.00 OP  
65.00 OP

P.O. Box 1450

Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY